

## IN THE UNITED STATES PATENT AND TRADEMARK OFFIC

## **Declaration for Patent Application**

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my rather s

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

|                      |   | Two Tier Hi-Speed                               | Wireless Communication Link  |                                |                          |                        |  |
|----------------------|---|---|--|--------------------------------|--------------------------|------------------------|--|
|                      | <del> </del>  |   |  |                                |                          |                        |  |
| he spec              | ification of whi                                    | ich (check one)                                 |  |                                |                          |                        |  |
| []                   | is attached hereto.                                 |   |  |                                |                          |                        |  |
| [X]                  | was filed on _                                      | August 26, 1999                                 | _ as United States Application   |                                |                          |                        |  |
|                      | Number or PC  | T International Applica                         | tion No. 09/384,072  |                                |                          |                        |  |
|                      | and was amen  | ded on  | (if applicable).   |                                |                          |                        |  |
| includir             | I hereby state<br>ng the claims, as                 | that I have reviewed and<br>amended by any amen | d understand the contents of the abound the contents of the contents of the abound the contents of the contents of the abound the abound the contents of the abound the contents of the abound the contents of the abound th | ove-identified                 | specifica                | tion,                  |  |
| defined              | I acknowledge<br>in 37 C.F.R. §1                    |   | formation which is known by me to  | be material to                 | o patental               | bility as              |  |
| country              | ent or inventor's other than the legion application | certificate or 365(a) of                        | is under 35 U.S.C. 119(a)-(d) or 36 any PCT international application va, listed below and have also identicertificate, or of any PCT internationity is claimed:   | vhich designa<br>fied below, b | ited at lea<br>v checkin | st one<br>ig the box,  |  |
|                      |   | <u>Pr</u>                                       | ior Foreign Application(s)   | Priority<br>Not<br>Claimed     |                          | tified<br>Filed?<br>NO |  |
| (Numb                | er)   | (Country)                                       | (Day/Month/Year filed)   | _ [ ]                          | [ ]                      | [ ]                    |  |
| (Numb                | er)   | (Country)                                       | (Day/Month/Year filed)   | _ [ ]                          | [ ]                      | ·[ ]                   |  |
| (Numb                | er)   | (Country)                                       | (Day/Month/Year filed)   | _ [ ]                          | [ ]                      | [ ]                    |  |
| I hereb              | y claim the ben                                     | efit under 35 U.S.C. §11                        | 19(e) of any United States provision   | nal application                | n(s) listed              | below.                 |  |
| (Applio              | cation Number)                                      |   | (Filing Date)  |                                |                          |                        |  |
| (Application Number) |   |   | (Filing Date)  |                                |                          |                        |  |

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.)   | (Fili  | ing date)  | (Status: patented, pending, abandoned)   |
|--|--|--|--|
| (Application Serial No.)   | (Fil   | ling date)   | (Status: patented, pending, abandoned)   |
| (Application Serial No.)   | (Fil   | ling date)   | (Status: patented, pending, abandoned)   |
| (Application Serial No.)   | (Fil   | ling date)   | (Status: patented, pending, abandoned)   |
| As a named inv<br>Hamilton, Brook, Smit<br>Customer No. 2100   | th & Reynolds, P.C   | point the attorne<br>C., Two Militia   | ys and/or agents associated with Drive, Lexington, Massachusetts 02421-4799  |
| and  |  |  |  |
| to prosecute this applica  | ition and to transac   | t all business in  | the Patent and Trademark Office connected therewith.   |
| Please send co   | rrespondence to:   | [ ] Customer   | No. Noted Above  |
|  |  | or<br>[ <b>X</b> ] <b>Address</b>  | below:   |
| David<br>HAM   | l J. Thibodeau, Jr<br>IILTON, BROOK  | :<br>., SMITH & RE   | YNOLDS, P.C.   |
| HAM<br>Two l<br>Lexin  | IILTON, BROOK<br>Militia Drive<br>agton, MA 02421-   | K, SMITH & RE<br>-4799   |  |
| HAM Two l Lexin  | IILTON, BROOK Militia Drive agton, MA 02421- ne calls to: <u>David</u>   | I, SMITH & RE<br>4799<br>J. Thibodeau, Ji  | Telephone No.: 781-861-6240  |
| HAM Two l Lexin  | IILTON, BROOK<br>Militia Drive<br>agton, MA 02421-   | I, SMITH & RE<br>4799<br>J. Thibodeau, Ji  | Telephone No.: 781-861-6240  |
| Direct telephor  Direct facsimil  I hereby declar  made on information ar  knowledge that willful  | IILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement nd belief are believe false statements and 8 of the United State   | J. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. ts made herein of the do be true; and the like so mantes Code and that                       | Telephone No.: 781-861-6240  |
| Direct telephor  Direct facsimil  I hereby declar  made on information ar knowledge that willful Section 1001 of Title 1   | IILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement nd belief are believe false statements and 8 of the United State   | J. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. ts made herein of the do be true; and the like so mantes Code and that                       | Telephone No.: 781-861-6240  Tacsimile No.: 781-861-9540  If my own knowledge are true and that all statements d further that these statements were made with the de are punishable by fine or imprisonment, or both, under  |
| Direct telephor  Direct facsimil  I hereby declar  made on information ar knowledge that willful Section 1001 of Title 13 of the application or an   | ILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement ad belief are believe false statements and 8 of the United Stat y patent issued ther  | J. Thibodeau, J.  J. Thibodeau, J.  J. Thibodeau, J.  Ats made herein of the true; and the like so made the Code and the reon.               | Telephone No.: 781-861-6240  Facsimile No.: 781-861-9540  If my own knowledge are true and that all statements d further that these statements were made with the de are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity |
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| Direct telephore Direct facsimils  I hereby declare made on information are knowledge that willful Section 1001 of Title 15 of the application or an Full name of sole or first inventor                         | ILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement ad belief are believe false statements and 8 of the United Stat y patent issued ther  | J. Thibodeau, J. J. Thibodeau, J. Thibodeau, J. Thibodeau, J. Thibodeau, J. Market and the true; and the like so make Code and that reon.    | Telephone No.: 781-861-6240  Tacsimile No.: 781-861-9540  If my own knowledge are true and that all statements d further that these statements were made with the de are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity |
| Direct telephore Direct facsimils  I hereby declare made on information are knowledge that willful Section 1001 of Title 13 of the application or and Full name of sole  or first inventor  Inventor's Signature | ILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement ad belief are believe false statements and 8 of the United Stat y patent issued ther  | J. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. Its made herein of the do be true; and the like so mantes Code and that reon. | Telephone No.: 781-861-6240  Tacsimile No.: 781-861-9540  If my own knowledge are true and that all statements d further that these statements were made with the de are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity |
| Direct telephore Direct facsimils  I hereby declare made on information are knowledge that willful Section 1001 of Title 13 of the application or and Full name of sole  or first inventor  Inventor's Signature | ILTON, BROOK Militia Drive agton, MA 02421- ne calls to: David les to: David re that all statement ad belief are believe false statements and 8 of the United Stat y patent issued ther  R. Adrian Bish  245 Sea Crest I  Melbourne, Flo | J. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. Thibodeau, Jr. Its made herein of the do be true; and the like so mantes Code and that reon. | Telephone No.: 781-861-6240  Tacsimile No.: 781-861-9540  If my own knowledge are true and that all statements d further that these statements were made with the de are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity |

| Full name of second join | nt                         |               |
|--------------------------|----------------------------|---------------|
| inventor, if any         | Thomas E. Gorsuch          |               |
| Inventor's Signature     | Thomas Jorsus              | Date 10/11/99 |
| Residence                | 530 Franklyn Avenue        | ,             |
|                          | Indialantic, Florida 32903 |               |
| Citizenship              | U.S.A.                     |               |
| Post Office Address      | Same as above              |               |
| Full name of third joint |                            |               |
| inventor, if any         | John V. Page               |               |
| Inventor's Signature     |                            | Date 10/12/97 |
| Residence                | 3905-Hidden Oaks           |               |
|                          | Melbourne, Florida 32934   |               |
| Citizenship              | U.S.A.                     |               |
| Post Office Address      | Same as above              |               |
| K:\PKRIZ\Tantivy\TAN99-1 | 7\Dec                      |               |

## VERIFIED STATEMENT CLAIMING SM ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))-SMALL BUSINESS CONCERN



| Serial or Patent No.:0   |  |
|--|--|
|  | August 26, 1999  |
| Title:   | Two Tier Hi-Speed Wireless Communication Link  |
| I hereby declare that I a  | m  |
|  | er of the small business concern identified below:   |
| [X] an offici  | al of the small business concern empowered to act on behalf of the concern identified below:   |
| -  |  |
|  | LL BUSINESS CONCERN Tantivy Communications, Inc.   |
| ADDRESS OF S   | MALL BUSINESS CONCERN 2200 Front Street, Suite 300 Melbourne, Florida 32901  |
|  | Melbourne, Florida 32901   |
| reproduced in 37 CFR 1<br>employees of the concer<br>of the business concern<br>basis during each of the             | hat the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12 and .9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of rn, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern er to control the other, or a third party or parties controls or has the power to control both.   |
| I hereby declare t<br>regard to the invention  | hat rights under contract or law have been conveyed to and remain with the small business concern identified above with described in:  |
| [X] the appli  | fication filed herewith with title as listed above. cation identified above. nt identified above.  |
| the invention must file so<br>other than the inventor,<br>which would not qualify<br>Each person, con<br>[X] no such | by the above identified small business concern are not exclusive, each individual, concern or organization having rights in separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern y as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).  cern or organization having any rights in the invention is listed below: person, concern, or organization exists.  th person, concern or organization is listed below.  |
| Separate verified<br>status as small entities.   | statements are required from each named person, concern, or organization having rights to the invention averring to their (37 CFR 1.27)  |
|  | e duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity r at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity (37 CFR 1.28(b))   |
| believed to be true; and by fine or imprisonmen  | hat all statements made herein of my own knowledge are true and that all statements made on information and belief are further that these statements were made with the knowledge that willful false statements and the like so made are punishable t, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the on, any patent issuing thereon, or any patent to which this verified statement is directed.  |
| NAME OF PERSON S   | GNING Carlo Amalfitano   |
| TITLE OF PERSON IF   | OTHER THAN OWNER Vice President, Systems Engineering   |
| ADDRESS OF PERSO   | N SIGNING Tantivy Communications, Inc., 2200 Front Street, Suite 300, Melbourne, Florida 32901  Open Communications of C |